

# CHALLENGE

BANK OF AMERICA RACING CHALLENGE

**2016 RACING CHALLENGE CONDITION BOOK, 1<sup>ST</sup> EDITION**



# AQHA

AMERICAN QUARTER  
HORSE ASSOCIATION

**2016 OFFICIAL HANDBOOK OF RULES & REGULATIONS, 64<sup>TH</sup> EDITION**



# **CONDITION BOOK**

# **2016**

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## **Bank of America® Racing Challenge**

Since the Bank of America® Racing Challenge began in 1993, the program has distributed more than \$65 million to breeders and owners of racing American Quarter Horses. We're glad that you've chosen to be a part of this outstanding program in its 24th season.

The program was founded with four goals:

- To develop a Regional, national and international program for racing American Quarter Horses with an emphasis on older horses
- To support and foster American Quarter Horse racing on a Regional basis
- To host an American Quarter Horse Championship race day of international importance and impact
- To secure corporate partnerships to help fund the program

Thanks to your continued participation, the Bank of America® Racing Challenge has met these goals for over 20 years. Thanks to the support of the program's Corporate Partners, more than half of all purses and awards are paid for by sources other than horsemen.

The Racing Challenge program accommodates horses of all ages and abilities. Regional Challenge races are held for all ages and divisions and provide berths into the final Challenge Championship event.

Bonus Challenge races offer Challenge-enrolled horses additional racing opportunities at tracks across the United States, Mexico and Canada. Introduced in 2015, the Invitational Bonus Challenge Championship invites the top 10 Bonus Challenge winners to compete during the Bank of America Challenge Championship event.

The Bank of America® Maiden Challenge races offer additional racing opportunities for Challenge-enrolled horses aged three and up that have not won an official race.

For more information, please contact the Racing Challenge Hotline at (877)-222-7223.

**Join Us October 29th, 2016 For The Bank Of America Challenge Championships At Los Alamitos Race Course in Los Alamitos, CA.**

**Visit [aqha.com/racing](http://aqha.com/racing) for the latest news and information, enrolling your horses in the Racing Challenge, nominating to a Regional Race, schedules, qualifiers and more.**

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**NOTE:** All owners, trainers and horsemen participating in AQHA's Racing Challenge program should thoroughly read and understand the rules and regulations of the program including the new hair testing rules regarding clenbuterol that will be implemented beginning in 2016. By their nomination and/or entry into the Racing Challenge Program, all participants agree to the rules and regulations of the program and irrevocably waive any legal claim they might have against AQHA, its officers, executive committee members, or its employees arising from or related to the enforcement of such rules and regulations and the resulting penalties.

# 2016 BANK OF AMERICA® RACING CHALLENGE

## Thanks to Our Corporate Partners

*AQHA Corporate Partners that support the Bank of America® Racing Challenge contribute more than \$715,000 annually.*

**Bank of America.**



Bank of America® contributes more than 40 percent of its AQHA sponsorship dollars to the Bank of America® Racing Challenge program. Bank of America has developed a variety of AQHA financing and deposit products, including checking and money market accounts. To find out more, call (800) 834-9262. To take advantage of special rates and features available exclusively to AQHA members, call (866) 219-5657.



Luitpold Animal Health® is committed to advancing the cause of better animal health. From multimillion dollar horses to long-time companion animals, the health and well-being of horses is what they work toward every day. Luitpold Animal Health offers Adequan, the official equine joint therapy manufacturer of AQHA.



**JOHN DEERE**

John Deere supports the Bank of America® Juvenile Challenge and awards a John Deere Gator to the Bank of America Challenge Champion Owner. AQHA members save up to 28% on select models.



Merial contributes to the Bank of America® Distaff Challenge series. Merial offers products to protect racehorses, pleasure horses, work horses and performance horses. Merial's official AQHA products are GastroGard, UlcerGard and Equioxx.



Zoetis sponsors the Bank of America® Distance Challenge. Zoetis is the official equine and cattle vaccine of AQHA.



Markel is the presenting sponsor of the Bonus Challenge. Markel is also the official equine insurance company of AQHA and now offers coverage for racehorses. Protect your investment with Markel Insurance.



Nutrena sponsors the Bank of America® Challenge Champion Breeder award, including a generous contribution of Nutrena products. Nutrena is the official nutrition product supplier of AQHA.



Wrangler, the official western jeans and shirts of AQHA, sponsors the Bank of America® Challenge Champion Jockey award. Long live cowboys.

For more information on these partners, their products and your savings, please visit [aqha.com](http://aqha.com).

## **2016 BANK OF AMERICA® RACING CHALLENGE**

### **General Rules & Conditions for Enrollment**

- 1) American Quarter Horses that have been registered with the American Quarter Horse Association (AQHA) or that are eligible to be registered with AQHA are eligible to be enrolled into the Racing Challenge. The enroller must be the recorded owner or lessee at time of enrollment. Enrollment awards will be paid based on this information as shown on AQHA records at time of enrollment.
- 2) Any owner participating in the AQHA Racing Challenge program must be a current AQHA member in good standing to enroll a horse(s) in the Challenge program and to compete in any Challenge race(s).
- 3) The enrollment fee is based on the age of the horse at time of enrollment. Due on or before December 31 of each year, the enrollment fees for this period are as follows:

#### **USA, CANADA & MEXICO**

Weanlings .....	\$300
Yearlings.....	\$600
2-year-olds.....	\$2,500 if enrolled between January 1 - March 15
2-year-olds.....	\$8,000 if enrolled between March 16 - December 31
3 and up .....	\$20,000

Enrollment fees received by the Racing Challenge will be deposited in interest-bearing accounts and invested according to the current approved investment policy of AQHA. AQHA administration and promotional costs may be deducted from the enrollment fees, interest and investment earnings from the enrollment fees with the remainder of the earnings allocated for purses and awards.

- 4) At AQHA's sole discretion, the fund of enrollment fees will be allocated to yearly purse supplement funds of races designated by AQHA based on the year foaled of the enrolled horse as follows:  
  
17% will be allocated for 2-year-olds  
36% will be allocated for 3-year-olds  
47% will be allocated for 4-year-olds and older

Thus, eligible horses compete for purse supplement funds paid by other foal crops contributed according to this schedule. For example, a 5-year-old horse also is racing for purse money contributed by horses one and two years younger.

- 5) Enrollment awards will be paid to the top five finishers in all Challenge races. Seven (7) percent of the gross Challenge race purses (including nominating and sustaining payments) will be reserved for these awards and distributed according to the schedule below. Awards will be paid to the enroller of the horse as recorded by AQHA at the time of enrollment. All award monies will be recorded and combined for each enroller. Subject to AQHA receiving final results of all Challenge races, checks will be issued on or before December 31st. The payout structure for money reserved from each race is as follows:

First place: 52%
Second place: 23%
Third place: 11.5%
Fourth place: 7.5%
Fifth place: 6%

- 6) All disputes arising out of the application or interpretation of any rules or conditions of the Bank of America Racing Challenge or distribution of funds shall be decided by the Executive Committee of the American Quarter Horse Association without necessity of prior notice of hearing. AQHA reserves the right to alter the rules and conditions of the Bank of America Racing Challenge as it, in its sole discretion, determines to ensure fairness and equality to all participants.
- Further, AQHA at its sole discretion may cancel the Bank of America Racing Challenge or any portion thereof at any time and refund nomination fees, if it deems horsemen's participation is insufficient or for any other reason AQHA deems sufficient.
- 7) An entrant is ineligible to participate in the Racing Challenge where such program is prohibited by law because of legal residence, site of approved event or any other basis.
- 8) In its handling of Racing Challenge funds, AQHA is not, nor assumes responsibility to be, the insurer of banks and other depositories where these funds are deposited or invested until distributed. AQHA has fulfilled its responsibilities to select financially sound depositories and investments when it selects those banks or other institutions which, according to its sole discretion, are financially sound according to those procedures AQHA uses to select depositories and make investments of its own funds.
- 9) As reciprocal assistance to AQHA for the privilege of participation in the Racing Challenge program, owner and/or nominator hereby expressly and irrevocably grant the Racing Challenge the exclusive right to use, at AQHA's sole discretion, in connection with the promotion of the Racing Challenge, the names and likenesses of the owner and/or nominator, any participating horse and of any co-owners, jockeys, trainers, colors, logo silks, and any other associated or identifying characteristics, as well as any other matter relating to the participation of the horse in the Racing Challenge program. Upon request by AQHA, owner and/or nominator shall cause any of the aforementioned persons to deliver such releases or authorizations to AQHA permitting such use.
- 10) This application, together with the rules and conditions of the Racing Challenge, and all matters pertaining thereto, shall be construed under the laws of the State of Texas, and AQHA's duties and authority hereunder, and all responsibilities of owner and/or nominator are deemed performable at Amarillo, Potter County, Texas.
- 11) Enrollment applications must be submitted with appropriate fees by December 31st of each year to be eligible. Eligible enrollments are determined by the time of actual receipt in the AQHA's offices at PO Box 36200, Amarillo, TX, 79120 or 1600 Quarter Horse Drive, Amarillo, TX 79104, with a seven (7) calendar day grace period through January 7 of each year, for slow or delayed mail or express services, or meritorious excuse. If the seventh day of the grace period falls on a Saturday, Sunday or federal holiday, then the grace period shall be extended to the next AQHA business day. Enrollments plus fees received after the grace period that have official U.S. Postal Service marks, or express delivery service billing receipts postmarked no later than January 7 of each year, or the last day of the grace period, may be accepted at the sole discretion of the Racing Council or its designated representative. The Racing Challenge official date-received stamp shall be conclusive as to time of receipt. Privately owned postage meters DO NOT qualify as U S Postal date of mailing.

# CHALLENGE

BANK OF AMERICA RACING CHALLENGE

**Bank of America® Challenge**  
3-Year-Olds & Older  
440 yards



**Adequan Derby Challenge**  
3-Year-Olds  
400 yards



**John Deere Juvenile Challenge**  
2-Year-Olds  
350 yards



**Merial Distaff Challenge**  
Fillies & Mares  
3-Year-Olds & Older  
400 yards



**Zoetis Distance Challenge**  
3-Year-Olds & Older  
870 yards



**Bonus Challenge**  
3-Year-Olds & Older



# 2016 BANK OF AMERICA® RACING CHALLENGE

## Race Rules, Regulations & Conditions

### 1) Rules and Regulations

The owner, lessee or authorized agent represents that he/she and all persons for whom he/she is acting have read and are familiar with rules of racing and the regulations of the governing racing jurisdiction, and the track rules and conditions of the host racing facility. By submitting a signed nomination form for any Racing Challenge race or event, the nominator acknowledges he/she has read and is aware of all rules, payments and due dates, and therefore is duly responsible for all scheduled payments.

### 2) Eligible American Quarter Horses

All American Quarter Horses registered with the American Quarter Horse Association and enrolled in the Bank of America Racing Challenge program are eligible to enter races in the Challenge program.

### 3) AQHA Membership

Any owner participating in the AQHA Racing Challenge program must be a current AQHA member in good standing to enroll a horse(s) in the Challenge program and to compete in any Challenge race(s).

### 4) Nomination, Sustaining and Late Payments

Nomination forms for each Regional Challenge race must be signed and delivered to the Racing Department at AQHA by fax, U.S. Postal Service, express delivery, or via email with a scanned PDF attachment. Nomination forms without a signature will not be accepted. Nomination payments shall be made by check, credit card or in certified funds made payable to the Racing Challenge.

Sustaining and late payments shall be made by check, credit card or in certified funds made payable to the Racing Challenge. These payments may be made by phone, fax, U.S. Postal Service or by express delivery.

All Challenge nomination, sustaining and \$2,500 late payments must be received by AQHA on or before either the 1st or the 15th of the month, depending on the dates for the trials and final. A \$5,000 late payment will be accepted at the race office on or before the day of entry for the time trials (regardless if time trials are needed). Specific deadlines corresponding to the 1st or the 15th of a month will be published on AQHA's website. There will be NO GRACE PERIOD for any Challenge race nomination, sustaining or late payment deadlines published by AQHA.

Eligible nomination, sustaining and late payments are determined by the time of actual receipt in AQHA offices at PO Box 36200, Amarillo, TX, 79120 or 1600 Quarter Horse Drive, Amarillo, TX 79104. AQHA's official date-received stamp shall be conclusive as to time of receipt. If the deadline date falls on a Saturday, Sunday or federal holiday, that date shall be extended to the next AQHA business day. Payments postmarked after the due date will not be accepted. Nominations received after the due date that have U. S. Postal Service marks or express delivery service billing receipts dated on or prior to the initial, advertised deadline may be accepted at the sole discretion of AQHA Racing Council or its designated representative. Privately owned postage meters DO NOT qualify as U S Postal date of mailing.

All nominating, sustaining and late payments received by AQHA and the Racing Challenge will be considered purse money once each deadline date has passed.

Requests for refund of nominating or sustaining fees will be granted after the deadline date has passed, if the owner contacts the Racing Challenge prior to the deadline to request the refund and also submits said request in writing.

Any returned checks due to insufficient funds, closed accounts or stop payments may result in the horse's ineligibility for that race at any time up to the running of the race. If the check is not returned by the bank until after the trials or final has run, the customer will be liable and billed for that amount plus a \$30 service fee.

Any declined credit card payments may also result in the horse's ineligibility for that race at any time up to the running of the race. Should a payment by MasterCard, Visa or American Express be

declined for any reason, AQHA will make a reasonable attempt to notify the customer by phone; however, it is the responsibility of the customer to ensure that the total amount of the funds is available for credit, debit or payment to AQHA at the time the payment is submitted. The funds must be secured and received by AQHA's bank within 48 hours after the deadline date.

## **5) Drug Testing**

By press release dated October 15, 2015, AQHA announced it will implement hair testing for clenbuterol for the AQHA's Racing Challenge program beginning with the Regional qualifying races and all Championship races. Such announcement reflected AQHA's continuing and ongoing efforts to further the safety and welfare of our horses, horsemen and industry, and to assure fairness of competition. The 2016 Bank of America Challenge Championships are scheduled for Los Alamitos Race Course in Los Alamitos, California.

Los Alamitos has been at the forefront of hair testing and addressing industry issues with beta-2 agonists such as clenbuterol. With AQHA's marquee event being held at the Southern California track, it makes sense at this time that AQHA takes this approach to hair testing, using our program that encompasses multiple states and countries and proves the need for better and more in-depth testing.

Hair testing for clenbuterol will begin with Regional Challenge races on or after April 30, 2016. For any Regional Challenge race between January 1 and April 30, 2016 a six (6) month hair test will be required to be submitted three (3) weeks prior to entry for any Challenge Championship race.

To avoid a positive hair test for clenbuterol, AQHA is recommending a minimum six-month withdrawal time.

A \$100 drug testing fee will be implemented and collected by AQHA at the time of the latest payment for all Regional final races on or after April 30, 2016. The latest payment will be the sustaining payment, the late payment made on the sustaining payment due date or the late payment at time of entry for trials, whichever is applicable to each specific horse. This fee will be per horse and per race. For all costs associated with the hair testing not covered by the \$100 fee per horse per race, the remaining expenses will be deducted from the purse for the associated corresponding race.

By paying the nomination fee and submitting a race nomination form signed by the owner or his/her authorized agent for a horse to a Regional race, all parties agree to abide by the results of the initial (commonly referred to as the "sample A test") hair testing and voluntarily and irrevocably waive the following rights:

- (a) any and all rights to have a secondary or split sample tested;
- (b) any and all rights to a hearing (whether formal, informal, by written submission or in-person) to contest the results of the initial test or the resulting penalties set forth below ("Penalties");
- (c) any and all rights to contest, under any circumstances or grounds, the results of the initial test or the resulting Penalties;
- (d) any and all rights to challenge the results of the initial test or resulting Penalties, directly or indirectly, before any court or other tribunal; and
- (e) by their nomination and/or entry into the Racing Challenge Program, all participants irrevocably waive any legal claim they might have against AQHA, its officers, executive committee members, or its employees arising from or related to the hair testing which is the subject of this paragraph 5.

Penalties: Owners agree to abide by the established Penalties set forth below:

A horse with a positive hair test for clenbuterol from a Regional trial race (or Regional finals race if there are no trials) shall be ineligible to enter the associated Regional final race or any other races associated with the 2016 AQHA Racing Challenge Program (including Challenge Championship races, Challenge Bonus races or Challenge undercard races). Such ineligibility shall continue to remain in effect during the year the positive hair test for clenbuterol occurred. This is in addition to any penalty that may be levied by the racing jurisdiction in which the Challenge race was conducted.

Should a horse that qualified through the trials have a positive hair test for clenbuterol, that horse will receive a time of 00:00 (zero time) and will be declared ineligible for the final and the next fastest qualifier on the also-eligible list will be eligible to enter for the final.

If there are no trials then hair will be collected immediately following the entry date for the trials.

Test results will be reported to the AQHA Racing Office and notification of positives will be sent from AQHA to the owner and trainer of the horse.

AQHA will send a list to the racing office of the host racetrack for the specific race(s) to notify them of horses that are eligible/ineligible to enter races based on the results of hair tests or other possible AQHA sanctions that would make a horse ineligible to enter a Racing Challenge race.

Each qualifier and the next four (4) fastest horses that will make up the also-eligible list must have a hair sample taken by the designated representative before leaving the racetrack grounds. Any qualifier or horse on the also eligible list that leaves the racetrack grounds before the hair sample is collected will receive a zero (00:00) qualifying time and will not be eligible to enter the final.

## **6) Stalls**

Nomination to a Racing Challenge race does not guarantee the availability of stalls at the host racing facility except for the day prior and day of the time trials. All qualifiers for the final will be guaranteed stall space until the date after the final.

## **7) Entry**

Entries for all Racing Challenge races shall be made through the entry box in the usual manner at the host racing facility by the closing time for entries in that Racing Challenge race. All horses are required to enter on scheduled trial entry date even if there are not sufficient eligible entries to run trials. Any Racing Challenge race with fewer than five (5) starters shall be cancelled and nomination, sustaining and late fees paid into the cancelled race will be refunded.

## **8) Time Trials**

The following priorities will be used by the host track to determine if time trials will be held for the Championship, Distaff and Distance Regional races. Such priorities are in addition to those set forth above in Section 5. regarding Drug Testing. Should the entries fail to exceed the track's maximum field policy by two horses, the host track may:

1. Run the trials with trial purses as submitted to and approved by AQHA.
2. 4-year-olds and older will be preferred over 3-year-olds.
3. The host facility will have the discretion to assign preference by using a panel of six people predetermined by the Racing Council to assign preference by signed fax or email (a minimum of three votes must be received).

Except in cases where the starting gate physically restricts the number of horses starting, each time trial shall consist of no more than ten (10) horses. The time trials shall be raced under the same conditions as the final. If the time trials are conducted on the same day, the horses with the ten (10) fastest times shall qualify to participate in the final. If the time trials are conducted on two (2) days, the horses with the five (5) fastest times on the first day and the horses with the five (5) fastest times on the second day shall qualify to participate in the final.

If the host facility's starting gate has fewer than ten (10) stalls, then the maximum number of qualifiers will correspond to the maximum number on the starting gate.

If only eleven (11) or twelve (12) horses enter to run in the time trials, a host facility, with a twelve (12) horse starting gate, can elect to run final only. If eleven (11) or twelve (12) horses participate in the final, only the first ten (10) finishers will receive purse money.

For 870 yard races, the eight (8) fastest times shall qualify to participate in the final, and only eight (8) monies will be paid. If only nine (9) starters enter to run in the time trials of an 870 yard race, a host facility can elect to run final only. If nine (9) horses participate in the final, only the first eight (8) will receive purse money.

In the time trials, horses shall qualify on the basis of time. The times of the horses in the time trials will be determined only to the limit of the timer. The only exception is when two or more horses have the same time in the same trial heat. Then the order of finish shall also determine the preference in qualifying for the final. Should two or more contestants in different time trials have the same qualifying time to the limit of the electronic timer for the final qualifying position or positions, then a draw by random lot shall be conducted as directed by the board of stewards of the host racing facility. Except in the possible case of a disqualification, under no circumstances shall a horse qualify ahead of a horse that finished ahead of that horse in the official order of finish of a time trial.

Should a horse be disqualified for interference during the running of a time trial, it shall receive the time of the horse it is immediately placed behind plus one hundredth (1/100th or 0.01) of a second, or the maximum accuracy of the electronic timing device. No adjustments will be made in the times recorded in the time trials to account for headwind, tailwind, off-track, etc. If a horse is dis-

qualified for interference with another horse causing loss of rider or the horse not to finish the race, the disqualified horse shall be given no time; and that horse should not be eligible to run in the finals.

Should a malfunction occur with the electronic timer on any time trial, finalists from that time trial will then be determined by official hand times operated by three (3) official and impartial persons. The average of the three (3) hand times will be utilized for the winning time, unless one of the hand times is clearly incorrect. In such cases, the average of the two accurate hand times will be utilized for the winning time; other horses shall be given times according to the order and margins of finish with the aid of the photo finish, if available.

When there is a malfunction of the timer during some time trials, but the timer operates correctly in other time trials, the accurate electronic times shall not be discarded, nor shall the average of the hand times be used for all time trials. If the accuracy of the electronic timer and/or the average of the hand times are questioned, the video of a time trial may be used by the stewards to estimate the winning time by counting the number of video frames in the race from the moment the starting gate stall doors are fully open parallel to the racing track. When the timer malfunctions and there are no hand times, the stewards may select qualifiers based on the video.

If there are trials to determine the field for the final, hair will be collected immediately following the last trial. The qualifiers to the race (the horses with the ten (10) fastest times in the trials, pursuant to the rules outlined in this section) must submit a hair sample to remain eligible for the final. The horses with the next four (4) fastest times (pursuant to this section) also must submit a hair sample and will be tested with the original ten (10) fastest qualifiers. AQHA will implement the use of an also-eligible list with these horses, pending hair test results; refer to section II below.

## 9) Finals

A horse is ineligible to enter or race in a Regional Challenge final if, at the time of entry or at any time prior to post time of the Regional Challenge final, the horse remains subject to any action that may result in loss of purse or disqualification in the Regional Challenge time trial by the racing jurisdiction in which the Regional Challenge time trial was conducted, including but not limited to a positive drug test, appeal of stewards ruling, etc.

## 10) Purse Structure

For the purpose of purse distributions for Racing Challenge races, "horses" shall mean official starters in a race. Any Racing Challenge race with fewer than five (5) entries shall be cancelled and any Challenge enrollment/purse money contributed by the Racing Challenge shall return to the Racing Challenge enrollment/purse fund for future allocation to purses. Nomination, sustaining and late fees paid into the cancelled race will be refunded to the payer. No other refunds will be made.

### The purse money will be distributed as follows:

Finish Order	10 Horses	9 Horses	8 Horses	7 Horses	6 Horses	5 Horses
1st	46%	47%	48%	49%	50%	52%
2nd	20%	20%	20.5%	21%	21.5%	23%
3rd	10%	10%	10%	10.5%	11%	11.5%
4th	7%	7%	7%	7%	7.5%	7.5%
5th	6%	6%	6%	6%	6%	6%
6th	4%	4%	4%	4%	4%	
7th	2.5%	2.5%	2.5%	2.5%		
8th	2%	2%	2%			
9th	1.5%	1.5%				
10th	1%					

## II) Also Eligible

There will be an also-eligible list for a Racing Challenge Regional final only in the case of a disqualification for a positive drug test report, ineligibility of the horse according to the conditions of the race, a disqualification by the stewards for a rule violation or at the discretion of the host association, when preference is assigned to eliminate two or fewer horses, as outlined in Section 8 of these rules.

## **12) Scratches**

If a horse should be scratched from the time trials, the horse's owner will not be eligible for a refund of the fees paid, and that horse will not be allowed to enter the final under any circumstances. If a horse that qualified for the final should be unable to enter due to racing unsoundness, or scratched for any reason other than a positive drug test report or a rule violation, the horse shall be deemed to have earned and the owner will receive last place purse money. If more than one horse should be scratched from the final for any reason other than a positive drug test report or a rule violation, then those purse monies shall be added together and divided equally among those owners. If any horse is scratched from a final being run without qualifying trials, there will be no refund of nominating, sustaining or late fees; and the horse will not be eligible for the purse monies in that race.

## **13) Disqualifications and Non-Starters**

Should a horse be disqualified from the final for a rule violation and subsequently declared ineligible to participate in the purse, the purse shall be redistributed according to the new order of finish, with last place monies distributed according to the purse structure listed in Section 10 of these general rules, regulations and conditions. Should a horse be declared a non-starter in the time trials due to a malfunction of the starting gate, and/or the decision of the board of stewards of the host racing facility, the owner shall receive a refund of nomination, sustaining and/or late payment fees only. Should a horse be declared a nonstarter in the final due to a malfunction of the starting gate, and/or the decision of the board of stewards of the host racing facility, the owner shall receive last place purse money. In the case of a declaration of a horse as a nonstarter in the time trials, AQHA can only be held responsible for a refund of nomination, sustaining and/or late payment fees made by each individual owner prior to the conduct of the time trials. In the case of a declaration of a horse as a nonstarter in the finals, AQHA can only be held responsible for the last place purse monies in the final.

## **14) Racing Regulation and Responsibility**

The Racing Challenge Championship races and races designated by AQHA in the Regional stakes program or any other Racing Challenge purse or awards programs will be run in compliance with the racing rules and regulations of applicable racing commission having jurisdiction of the track where such races are held, except as otherwise set forth in the conditions of the Racing Challenge Regional, Bonus, Maiden and Championship races. AQHA accepts no responsibility for the actions of any officials or employees of any such track or racing commission, or for any matter under the control of such track, racing commission, its officials or employees, including but not limited to, condition of track or physical facility. Purse and award payments shall be made to the owners or lessees as recognized by AQHA.

## **15) Rights to Postpone, Cancel or Move**

AQHA reserves the right to postpone, cancel or move a Racing Challenge race to any location or transfer to any date deemed necessary. Should a Racing Challenge race be postponed, cancelled or moved, AQHA can only be held responsible for the refund of nomination, sustaining and/or late payment fees made by each individual owner prior to the conduct of time trials. Should the finals for a Racing Challenge race be postponed, cancelled or moved after the time trials have been conducted, AQHA can only be held responsible for the purse amount payable in the final. That amount will be distributed equally to the owners of horses that qualified for the final in the time trial.

## Bonus Challenge presented by Markel

- 1) All Bonus Challenge races must have a minimum of five (5) starters. If there is not a minimum of five starters, Bonus Challenge purse funds will be held by AQHA and redistributed in the next calendar year through the Racing Challenge fund. The final decision and preference system for individual races will be made by the host track and the AQHA Racing Council representative of the Racing Challenge.
- 2) Winning payouts for all Bonus Challenge races shall be the same percentage payouts paid by the host track.
- 3) Ten (10) winners of Bonus Challenge races will be invited to participate in the Invitational Bonus Challenge Championship, which will be run during the Bank of America Challenge Championship event pursuant to the preference system outlined in Section 5 (b) of the Challenge Championship Rules, Regulations and Conditions. Bonus Challenge races that are run on or before October 16, 2016 will be added to the Challenge awards point program as follows:

1st..... 5 points  
2nd ..... 4 points  
3rd ..... 3 points  
4th ..... 2 points  
5th ..... 1 point

Please check the Challenge page on the AQHA Racing website [aqha.com/racing](http://aqha.com/racing), or call the Challenge hotline at (877) 222-7223 for complete up-to-date information and race schedules.



# Bank of America® Maiden Challenge

- 1) American Quarter Horses that are still Maidens and enrolled in the Bank of America Racing Challenge may compete in a series of Maiden Challenge Races at selected tracks. The conditions for these Maiden Challenge Races will be: "For Challenge-enrolled horses three years old and older that are still Maidens at the time of nomination deadline (either original or late nomination)."
- 2) All races must have a minimum of five starters. If there is not a minimum of five starters the Maiden Challenge added money will be held by AQHA and redistributed in the next calendar year through the Racing Challenge fund. The final decision regarding trials and individual races will be made by the host track and the AQHA Racing Council representative of the Racing Challenge.
- 3) Winning payouts for all Maiden Challenge races shall be the same percentage payouts established for the Challenge races:

Finish	10	9	8	7	6	5
Order	Horses	Horses	Horses	Horses	Horses	Horses
1st	46%	47%	48%	49%	50%	52%
2nd	20%	20%	20.5%	21%	21.5%	23%
3rd	10%	10%	10%	10.5%	11%	11.5%
4th	7%	7%	7%	7%	7.5%	7.5%
5th	6%	6%	6%	6%	6%	6%
6th	4%	4%	4%	4%	4%	4%
7th	2.5%	2.5%	2.5%	2.5%		
8th	2%	2%	2%			
9th	1.5%	1.5%				
10th	1%					

4) Maiden Challenge races do not carry berths to any of the five Racing Challenge Championship races or the Invitational Bonus Challenge Championship. Horses may still qualify for undercard races based on the Racing Challenge Championship undercard preference system.

5) Maiden Challenge races that are run between January 1, 2016 and October 16, 2016 will be added to the Challenge awards point program as follows:

- 1st..... 5 points
- 2nd ..... 4 points
- 3rd ..... 3 points
- 4th ..... 2 points
- 5th ..... 1 point

Please check the Challenge page on the AQHA Racing website [aqharacing.com](http://aqharacing.com), or call the Challenge hotline at (877) 222-7223 for complete up-to-date information and race schedules.



# 2016 BANK OF AMERICA® RACING CHALLENGE CHAMPIONSHIPS

**Bank of America.**



## **Bank of America Challenge Championship (G1)**

\$350,000 Guaranteed

3-Year-Olds & Older; 440 yards

For horses qualified through a regional Racing Challenge Championship final as determined by Racing Challenge Championship preferences



## **Adequan Derby Challenge Championship (G3)**

\$200,000 Guaranteed

3-Year-Olds; 400 yards

For horses qualified through a regional Racing Challenge Derby final as determined by Racing Challenge Championship preferences



**JOHN DEERE**

## **John Deere Juvenile Challenge Championship (G2)**

\$150,000 Guaranteed

2-Year-Olds; 350 yards

For horses qualified through a regional Racing Challenge Juvenile final as determined by Racing Challenge Championship preferences



## **Merial Distaff Challenge Championship (G1)**

\$125,000 Guaranteed

Fillies & Mares, 3-Year-Olds & Older; 400 yards

For horses qualified through a regional Racing Challenge Distaff final as determined by Racing Challenge Championship preferences



## **Zoetis Distance Challenge Championship (G1)**

\$125,000 Guaranteed

3-Year-Olds & Older; 870 yards

For horses qualified through a regional Racing Challenge Distance final as determined by Racing Challenge Championship preferences



## **Invitational Bonus Challenge Championship**

\$75,000 Guaranteed

3-Year-Olds & Older; 350 yards

For horses qualified through a Racing Challenge Bonus final as determined by Racing Challenge Championship preferences

All horses must go through entry box for championship races.

# 2016 BANK OF AMERICA® RACING CHALLENGE

## Championships Rules, Regulations & Conditions

### 1) Rules and Regulations

The owner, lessee or authorized agent represents that he/she and all persons for whom he/she is acting have read and are familiar with rules of racing and the regulations of the governing racing jurisdiction, and the track rules and conditions of the host racing facility. By submitting a signed nomination form for any Racing Challenge race or event, the nominator acknowledges he/she has read and is aware of all rules, payments and due dates, and therefore is duly responsible for all scheduled payments.

### 2) Eligible American Quarter Horses

All American Quarter Horses registered with the American Quarter Horse Association and enrolled in the Bank of America Quarter Horse Racing Challenge based on the Challenge Championship preference system or as specified by the Racing Challenge Undercard preference systems are eligible.

All horses that enter a Challenge Championship race (includes all Challenge Championship races as well as Undercard races or any other race that may be conducted during the Challenge Championship event) will be required to submit to a clenbuterol hair test three (3) weeks prior to entry. This includes horses that do not win a Regional Challenge race but may subsequently qualify to enter.

By entering a horse in a Challenge Championship race referenced above, the owner or his/her authorized agent agree to abide by the results of the initial (commonly referred to as the "sample A test") hair testing and voluntarily and irrevocably waive the following rights:

- a) any and all rights to have a secondary or split sample tested;
- b) any and all rights to a hearing (whether formal, informal, by written submission or in-person) to contest the results of the initial test or the resulting Penalty set forth below ("Penalties");
- c) any and all rights to contest, under any circumstances or grounds, the results of the initial test or the resulting Penalty;
- d) any and all rights to challenge the results of the initial test or resulting Penalty, directly or indirectly, before any court or other tribunal; and
- e) by their entry into a Racing Challenge race, all participants irrevocably waive any legal claim they might have against AQHA, its officers, executive committee members, or its employees arising from or related to the hair testing which is the subject of this paragraph 2.

Penalty: A horse with a positive hair test for clenbuterol pursuant to this section shall be ineligible to enter any Challenge Championship race, Undercard race or any other race that may be conducted during the Challenge Championship event.

Test results will be reported to the AQHA Racing Office and notification of positives will be sent from AQHA to the owner and trainer of the horse.

AQHA will send a list to the racing office of the host racetrack for the specific race(s) to notify them of horses that are eligible/ineligible to enter races based on the results of hair tests or other possible AQHA sanctions that would make a horse ineligible to enter a racing Challenge race.

### 3) Nominations

Signed nomination forms for the Challenge Championships and Undercard races must be received in the AQHA Racing office before the entry will be accepted. Nomination forms are due by October 3, 2016 for all Challenge Championship races. In the event that Regional Challenge races are scheduled to be held after this date, horses that compete in these races must be nominated on the next business day following the final.

By paying any applicable nomination fees and by submitting a race nomination form signed by the owner or authorized agent for a horse to any Challenge Championship race or event, all parties agree to abide by the results of the initial hair testing and waive any rights to have a secondary or split sample tested and the right to any hearings. All parties also agree to abide by the sanctions and penalties established which include the horse with a positive hair test for clenbuterol be ineligible to enter the Challenge Championship or Undercard race. This is in addition to any penalty that may be levied by the racing jurisdiction in which the Challenge race was conducted.

#### **4) Challenge Championship Preferences: Championship, Derby, Distaff, Distance and Juvenile Divisions**

- a)** For purposes of these preference rules, a horse is ineligible to enter or race in a Challenge Championship if, at the time of entry or at any time prior to post time of the Challenge Championship, the horse remains subject to any action that may result in loss of purse or disqualification in the Regional Challenge final by the racing jurisdiction in which the Regional Challenge final was conducted, including, but not limited to a positive drug test, appeal of stewards' ruling, etc.
- b)** Unless ineligible pursuant to Section 4(a), the winning horse in each Regional Challenge final ("Winner") will receive an automatic berth in the Challenge Championship final for that division at the Challenge Championship event. A Winner must have a signed nomination form on file for its respective Championship final and enter for the race at the time of entries at the host track location.
- c)** If a Winner is ineligible pursuant to Section 4(a) or declines the opportunity to run, the remaining starting position shall be offered to the second-place finishing horse in that Regional Challenge final.
- d)** If the second-place finishing horse is ineligible pursuant to Section 4(a) or declines the opportunity to run, the remaining starting position shall be offered to second-place finishing horses in other Regional Challenge finals within the division. Preference will be given to horses with the highest Challenge-earnings between January 1, 2016 and the last scheduled Regional final.
- e)** If all second-place horses in other regions are ineligible pursuant to Section 4(a) or decline the opportunity to run, the third-place horses in other Regional Challenge finals will receive preference determined by the most Challenge money earned between January 1, 2016 and the last scheduled Regional final.
- f)** If all third-place horses in other regions are ineligible pursuant to Section 4(a) or decline the opportunity to run, the remaining starting position will be offered to all eligible horses which started in a Regional race for that division. Preference will be given to horses with the most Challenge money earned between January 1, 2016 and the last scheduled Regional final.
- g)** If a horse wins two Regional Challenge finals in the same division (i.e., two Derby races):
  - i)** the horse, subject to Section 4(a), will represent the region in which he/she won first; and
  - ii)** the second place horse, subject to Section 4(a), which remains eligible from the other Regional win, shall represent that region in the Challenge Championship.
- h)** In the event of a dead heat, the horse with the most Challenge money earned between January 1, 2016 and October 16, 2016 shall be considered the qualifier.

If such qualifier is ineligible pursuant to Section 4(a) or declines the opportunity to run, the remaining starting position shall be offered to the other horse involved in the dead heat in that Regional Challenge final.

If the qualifier pursuant to this subsection accepts the opportunity to run, the other horse involved in the dead heat shall receive preference over second-place horses in all regions. Thereafter, the order of preference shall follow the preference sequence beginning at subsection 4(d) above.

#### **5) Bonus Challenge Championship Preferences**

- a)** For purposes of these preference rules, a horse is ineligible to enter or race in the Invitational Bonus Challenge Championship if, at the time of entry or at any time prior to post time of the Challenge Championships, the horse remains subject to any action that may result in loss of purse or disqualification in the Bonus Challenge race by the racing jurisdiction in which the Bonus Challenge race was conducted, including but not limited to a positive drug test, appeal of stewards' ruling, etc.
- b)** The preference system for the Invitational Bonus Challenge Championship will be as follows:

##### **First Preference**

Racing Challenge-enrolled horses that finished first in a Bonus Challenge race will be preferred by Challenge money earned between January 1, 2016 and October 16, 2016.

## **Second Preference**

Racing Challenge-enrolled horses that finished second in a Bonus Challenge race will be preferred by Challenge money earned between January 1, 2016 and October 16, 2016.

## **Third Preference**

Racing Challenge-enrolled horses that started in a Bonus Challenge race will be preferred by Challenge money earned between January 1, 2016 and October 16, 2016.

- c) The field for the Invitational Bonus Challenge Championship will be limited to ten (10) horses.

## **6) Undercard Races**

- a) All horses enrolled in the Racing Challenge are eligible to nominate and enter for Undercard races except horses that have qualified to a Challenge Championship and have received a berth in the final. Such horses will be considered a Challenge Championship qualifier and will be ineligible to enter an undercard race, regardless of division. Horses which have not started in a Regional Challenge trial or final that wish to participate in an Undercard race may do so by free supplemental nomination.
- b) A signed nomination form must be submitted to the Racing Department at AQHA prior to October 3, 2016 to be eligible to enter an Undercard race.
- c) A horse may be late enrolled into the Racing Challenge Program prior to October 3, 2016 to receive preference for a Bank of America Challenge Undercard race.
- d) A horse that has been entered in an Undercard race that is otherwise eligible to participate in a Challenge Championship Race shall not be required to participate in a Challenge Championship Race should such opportunity arise at the time of entries. Instead, such decision shall be up to the owner or trainer.
- e) Preference for the Undercard races will be made available when the format for the Undercard races has been determined.

## **7) Entry**

Entries for all Challenge Championship and Undercard races shall be made through the entry box in the usual manner at the Challenge Championship host racing facility by the closing time for entries. All horses must go through entry for scheduled Challenge Championship and Undercard races, regardless of qualifying position, to be eligible to draw into the final if there are any withdrawals/scratches in the race in which the entrant may receive preference.

All horses entering Challenge Championship, Undercard, or any other races held in conjunction with the Challenge Championship event must submit a hair test three (3) weeks prior to the event; horses that receive a positive clenbuterol as a result of this hair test will not be allowed to enter as set forth herein. Horses that are deceased will not be allowed to enter.

## **8) Pre-Race Inspections**

Unless otherwise pre-arranged with an officiating Racing Challenge veterinarian or state racing commission veterinarian, all owners and their trainers must make their horses available for pre-race inspections on the racetrack grounds during the time period beginning five (5) days prior to the Challenge Championship event and ending at the conclusion of the Challenge Championship event. Pre-race inspections may be conducted at any time during this period.

## **9) Stalls**

The Challenge Championship host racing facility guarantees the availability of stalls for the first two finishers in all Racing Challenge Regional races. All qualifiers for the Challenge Championships shall be guaranteed stall space at least twenty one (21) days prior to the Challenge Championships.

## **10) Purse Structure**

All Challenge Championship and Undercard races will pay ten (10) monies unless there are (11) horses in the field, except the Distance Championship and any Undercard races contested at 870 yards which pay eight (8) monies. The Bank of America Challenge Championship race and other races with (11) horse fields pays eleven (11) monies. The eleventh place finisher in the Bank of Amer-

ica Challenge Championship race and any other Challenge Championship final race with eleven starters will receive the same payment as the tenth place finisher. Payout may be adjusted up on determination of scratches, disqualifications, and/or nonstarters.

**The purse money will be distributed as follows:**

Finish Order	11 Horses	10 Horses	9 Horses	8 Horses	7 Horses	6 Horses	5 Horses
1st	46%	46%	47%	48%	49%	50%	52%
2nd	20%	20%	20%	20.5%	21%	21.5%	23%
3rd	10%	10%	10%	10%	10.5%	11%	11.5%
4th	7%	7%	7%	7%	7%	7.5%	7.5%
5th	6%	6%	6%	6%	6%	6%	6%
6th	4%	4%	4%	4%	4%	4%	
7th	2.5%	2.5%	2.5%	2.5%	2.5%		
8th	2%	2%	2%	2%			
9th	1.5%	1.5%	1.5%				
10th	1%	1%					
11th	1%						

**11) Scratches**

- a) Horses that scratch from a Challenge Championship final race are not eligible for last place purse money, and purse monies will be distributed based on actual number of starters in that race.
- b) Horses that scratch from the Invitational Bonus Challenge Championship or any Undercard race are not eligible for last place purse money, and purse monies will be distributed based on actual number of starters in that race.
- c) If a horse that has been entered in an Undercard race is also entered in a Championship race; it will only receive purse money from the race in which it runs.

**12) Disqualifications and Nonstarters**

Should a horse be disqualified from the final for a rule violation and subsequently declared ineligible to participate in the purse, the purse shall be redistributed according to the new order of finish with last place monies distributed according to the purse structure listed in Section 10 of these Racing Challenge Championship rules, regulations and conditions. Should a horse be declared a nonstarter in the Racing Challenge Championship final or Racing Challenge undercard race due to a malfunction of the starting gate and/or the decision of the board of stewards of the host racing facility, the owner shall receive last-place purse money. In the case of a declaration of a horse as a nonstarter in a Racing Challenge Championship final, AQHA can only be held responsible for the last place purse monies in that final.

**13) Racing Regulation and Responsibility**

The Racing Challenge Championship race and races designated by AQHA in the Regional stakes program or any other Racing Challenge purse or awards programs, will be run in compliance with the racing rules and regulations of applicable racing commission having jurisdiction of the track where such races are held, except as otherwise set forth in the conditions of the Racing Challenge Regional, Bonus, Maiden and Championship races. AQHA accepts no responsibility for the actions of any officials or employees of any such track or racing commission, or for any matter under the control of such track, racing commission, its officials or employees, including but not limited to, condition of track or physical facility. Purse and award payments shall be made to the owners or lessees as recognized by AQHA.

**14) Rights to Postpone, Cancel or Move**

AQHA reserves the right to postpone, cancel or move a Racing Challenge race to any location or transfer to any date deemed necessary. Should a Racing Challenge race be postponed, cancelled or moved, AQHA can only be held responsible for the refund of Racing Challenge Championship entry payments made by each individual owner prior to the Racing Challenge Championship event.

# BANK OF AMERICA® RACING CHALLENGE AWARDS

Enrollment awards will be paid to the top five finishers in Regional, Bonus, Maiden and Championship races. Awards are based on seven (7) percent of the gross purse for all finals and will be paid to the enroller of the horse as recorded by AQHA at the time of enrollment. All award monies will be recorded and combined for each enroller. Subject to AQHA receiving final results of all Challenge races, checks will be issued on or before December 31 of each year.

The Racing Challenge Champion owner, trainer, breeder and jockey awards will be based on most points earned in Regional Challenge finals, Bonus Challenge finals, and Maiden Challenge finals that are run between January 1, 2016 and October 16, 2016. Challenge awards points will not be earned in the Challenge Championships, Regional Challenge trial races, or Maiden Challenge trial races. The Champion in each category will be presented with the award during the Challenge Championship events. Points for Challenge Regional races are awarded as follows:

1st place .....	15 points	6th place .....	5 points
2nd place .....	10 points	7th place.....	4 points
3rd place.....	8 points	8th place .....	3 points
4th place.....	7 points	9th place .....	2 points
5th place.....	6 points	10th place .....	1 point

Points for Bonus Challenge and Maiden Challenge races run prior to or on the day of the last Regional final of the season are awarded as follows:

1st place .....	5 points
2nd place .....	4 points
3rd place .....	3 points
4th place .....	2 points
5th place .....	1 point

A “tie-breaker” will be used when determining the Challenge owner, breeder, trainer and jockey of the year. These awards are based on most points earned in Challenge Regional races and Bonus and Maiden Challenge races between January 1 and October 16, 2016. Should two or more individuals earn the same number of points, an additional point system based on the most number of wins, 2nd, 3rd and 4th place finishes earned in Regional Challenge races will be used to determine the winner.

*Racing Challenge award points are recorded under the owner’s name (in the case of a leased horse, in the lessor’s name) as it appears in AQHA records at the entry time of the Regional final race in question. Owners and lessors are hereby put on notice that it is their obligation to confirm that AQHA has processed and recorded ownership/lease information (especially, but not limited to, requested changes to such information made near the entry time of a Regional final race) prior to the entry time of the Regional final race in question. Combining of points is not allowed. For example, points awarded to owner “John Doe” will not be combined with points awarded to “John and Jane Doe.” Additionally, points awarded to “John Doe” will not be combined with points awarded to “John Doe Quarter Horse Farm.” However, points awarded to “John Doe” will be combined with points awarded to “Doe, John,” as long as their AQHA membership number is the same. Points are not awarded for trial races. Ownership will be tracked throughout the year by the Racing Challenge department and Racing Council’s representative to the Racing Challenge program. All disputes are subject to AQHA Racing Council review.*

**American Quarter Horse Association**

# **Racing Rules & Regulations**

**from the 2016  
American Quarter Horse Handbook**

## **RACING RULES AND REGULATIONS**

**IMPORTANT NOTICE:** The welfare of the horse and all other animals is paramount to AQHA. Before proceeding, please read AQHA's welfare statement concerning American Quarter Horse racing on page 3. RAC301.4 and RAC311 are examples of ways that AQHA has worked to protect horses involved in racing.

### **RAC300. GENERAL RACING PROVISIONS**

**RAC300.1** AQHA does not assume or accept duty or responsibility for safety at any race or races in regard to participants, third parties or participating horses.

**RAC300.2** As an express condition of the privilege to participate at an AQHA-recognized race event, each owner of an American Quarter Horse, trainer and participant assumes the risks of participation and releases and discharges AQHA, its officers, directors, representatives and employees from any and all liability, whenever or however arising, as to personal injury or property damage occurring as a result of participation in a race event.

**RAC300.3** In cases where races eligible for AQHA recognition are run under the supervision of a Racing Authority, the Racing Authority's rules take precedence. While rules of Racing Authorities take precedence, AQHA, for purposes of AQHA records and programs, reserves the right to deny or revoke recognition of a race which does not observe the rules and regulations contained herein. American Quarter Horse racing is defined as two or more American Quarter Horses registered with the American Quarter Horse Association, entered and competing in the same race at the same time from a regulation starting gate, at distances and conditions recognized by AQHA and running at full speed, unimpeded by obstacles, thru a common finish line. Also see rules RAC301 and RAC302.

### **RAC301. RACE RECOGNITION AND RESULTS**

**RAC301.1** AQHA accepts race results in Amarillo, Texas, as official and uses such results for purposes of recording information in AQHA records, conducting AQHA racing programs and determining qualification for advancement of a horse in AQHA's breed registry.

**RAC301.2** Race results are eligible for AQHA recognition if the race is run under the supervision of a Racing Authority and complies with the rules and regulations contained herein.

**RAC301.3** Effective April 1, 1992, AQHA imposed a moratorium on applications for AQHA sanction/regulation of nonpari-mutuel race meets. Such moratorium remains in effect and may only be waived by the AQHA Executive Committee.

**RAC301.4** AQHA will not recognize a race that involves an American Quarter Horse competing with horses other than

**RAC301.4.1** other American Quarter Horse(s);

**RAC301.4.2** Thoroughbred(s) registered with The Jockey Club, The Canadian Jockey Club or The Mexican Jockey Club;

**RAC301.4.3** horse(s) registered with the American Paint Horse Association; or

**RAC301.4.4** horse(s) registered with the Appaloosa Horse Club.

**RAC301.5** AQHA will not recognize a race in which a 2-year-old:

**RAC301.5.1** starts prior to March 1 (with the exception of the trials and finals for the West Texas Futurity and the Mardi Gras Futurity);

**RAC301.5.2** competes against older horses; or

**RAC301.5.3** is allowed to compete at a distance greater than 440 yards prior to September 1.

**RAC301.6** A questionable time is a time in which past performance records indicate possible error.

**RAC301.6.1** In cases of questionable times, AQHA may withhold its recognition of the times as official until the race is reviewed by AQHA.

**RAC301.6.2** If presiding race officials or the Racing Authority do not provide reasonable justification for the questionable time, AQHA reserves the right to adjust the time by using the video of the race to estimate the time by counting the number of video frames in the race from the moment the starting gate stall doors are fully open parallel to the racing track.

**RAC301.6.3** AQHA decisions regarding questionable times may be appealed to the AQHA Racing Council for further consideration. Decisions of the Racing Council may be appealed to the AQHA Executive Committee per VIO505.

### **RAC302. TRACK RESPONSIBILITIES**

**RAC302.1** Unless otherwise specified herein, AQHA recognizes the Model Rules for Flat Racing

adopted by the Association of Racing Commissioners International (“ARCI”) and requests that races eligible for AQHA recognition be run in accordance with such rules.

**RAC302.2** AQHA recommends that all stewards at American Quarter Horse tracks be certified as accredited stewards and have adequate experience and/or education concerning American Quarter Horse racing.

**RAC302.3** Tracks must file race results charts and official programs with AQHA following each racing day. Tracks may file such charts and programs by fax, online computer transmission (directly to AQHA or via submission to Equibase) or express delivery. The race results chart should include all of the necessary information to produce past performance lines as well as information concerning monies earned (purse and bonus) paid through the horseman’s bookkeeper. The official program should include conditions of the races and distances, names of the horses in each race, post positions, color, sex, age, breeding, owner, trainer, jockey and weight carried.

**RAC302.4** If requested by AQHA, tracks must provide AQHA with a videotape of all graded or restricted graded stakes races at the track’s expense.

**RAC302.5** The track is responsible for setting starting gates so races are actually run at designated distances. There shall not be a downhill slope in excess of one degree (1°) over the length of the straightaway. At AQHA’s request, a track must file with AQHA a certified statement by a licensed surveyor that all American Quarter Horse distances at the track have been surveyed for grade and distance within the last five years.

**RAC302.6** AQHA will only recognize those official races that are run on oval tracks that meet the standards and requirements of AQHA and the model rules of racing.

**RAC302.7** All tracks conducting American Quarter Horse racing shall utilize an electronic photo-finish camera or computerized video tape photo-finish camera. The minimum accuracy of the camera shall be no less than 1/100th (.01) of a second. The maximum accuracy of the camera shall be no greater than 1/1000 (.001) of a second. The track must calibrate the photo-finish camera every year and, at AQHA’s request, file a copy of the calibration report with AQHA.

**RAC302.8** The recommended minimum scale of weights of American Quarter Horse jockeys riding 2-year-olds is 120 pounds; 3-year-olds is 122 pounds; and 4-year-olds or older is 124 pounds.

**RAC303. EARNINGS.** AQHA will only record the following as total money earned in the Official AQHA Race Record:

**RAC303.1** Purse earnings reported in the race results chart; and

**RAC303.2** Bonus earnings, including annuity awards, that are:

**RAC303.2.1** paid to the owner(s) of a horse as a result of the horse’s performance in a specific race or series of races that are not included in the actual purse of the race(s) but are paid through the horseman’s bookkeeper (in the case of a series of races, paid through the horseman’s bookkeeper at the track where the last leg of the series was held); and

**RAC303.2.2** reported in the race results chart.

**RAC303.3** Bonus earnings will be recorded separately from purse earnings, and an annotation will appear on materials such as Champions Balloting specifying that the horse’s total earnings include a particular amount of bonus earnings.

**RAC303.4** Bonus earnings in the form of an annuity award will be recorded as the cash value of the annuity on the date the award was earned, whether or not the owner receives the award as a lump sum or in periodic payments. In the event an owner receives an annuity award in periodic payments, the determination of the cash value of the annuity on the date the award was earned shall be in accordance with generally accepted accounting principles.

**RAC303.5** AQHA records all monetary amounts reported as part of race results (purses, bonus earnings, claiming prices, wagering pool, payoffs, etc.) in U.S. funds.

#### **RAC304. HORSE OWNERSHIP.**

**RAC304.1** “AQHA Race Ownership Record” shall mean a horse’s official AQHA ownership record as it existed within AQHA’s ownership data system at the time of a race.

**RAC304.2** A person shall be considered a “Record Owner” of a horse if such person is:

**RAC304.2.1** listed as the owner per “AQHA Race Ownership Records”; or

**RAC304.2.2** listed as the lessee per “AQHA Race Ownership Records”.

**RAC304.3** For purposes of AQHA recognition and AQHA awards only, points and earnings earned as a result of a horse’s performance in a particular race (“AQHA Credit”) shall only be

credited to the Record Owner of such horse for that race.

**RAC304.4** AQHA recognizes that reporting services and/or racetracks, for purposes of their record keeping, may rely on certain documentation as being sufficient for their official and final determination/recognition of a person as being the owner of a horse. AQHA recognizes that such documentation might include, but not necessarily be limited to:

**RAC304.4.1** an AQHA registration certificate presented to race officials;

**RAC304.4.2** an AQHA lease certificate presented to race officials; or

**RAC304.4.3** a valid transfer report presented to race officials. Notwithstanding such reliance and official and final determination/recognition by reporting services and/or racetracks, if a person is not a Record Owner as defined in subsection RAC304.2 above, such person shall not receive the AQHA Credit referenced in subsection RAC304.3 above. This remains true even if AQHA official ownership records are subsequently updated after a race to reflect that such person was the owner of the horse at the time of the race.

**RAC304.5** See REG124.6 for information on transferring horses claimed in claiming races.

**RAC305. TIME TRIALS.** In the absence of specific conditions for particular elimination or time trial races, the following rules shall apply.

**RAC305.1** Except in cases where the starting gate physically restricts the number of horses starting, each time trial shall consist of no more than 10 horses.

**RAC305.2** The time trials shall be raced under the same conditions as the final. If the time trials are conducted on the same day, the horses with the 10 fastest times shall qualify to participate in the final. If the time trials are conducted on two days, the horses with the five fastest times on the first day and the horses with the five fastest times on the second day shall qualify to participate in the final. When time trials are conducted on two days, the racing secretary shall make his/her best effort to split owners with more than one entry into separate days and/or time trials.

**RAC305.3** If the track's starting gate has less than 10 stalls, then the maximum number of qualifiers will correspond to the maximum number of starting gate post positions.

**RAC305.4** Except in races around a turn, if only 11 or 12 horses are entered to run in time trials from a gate with 12 or more stalls, the track may choose to run a final only. If 11 or 12 horses participate in the final, only the first 10 finishers will receive purse money.

**RAC305.5** In the time trials, horses shall qualify on the basis of time and order of finish. The times of the horses in the time trial will be determined to the limit of the timer. The only exception is when two or more horses have the same time in the same trial heat. Then the order of finish shall also determine the preference in qualifying for the final. Should two or more horses in different time trials have the same qualifying time to the limit of the timer for the final qualifying position(s), then a draw by public lot shall be conducted as directed by the stewards. Qualifying times in separate trials shall not be determined beyond the limit of the timer by comparing and/or enlarging photo-finish images.

**RAC305.6** Except in the case of disqualification, under no circumstances shall a horse qualify ahead of a horse that finished ahead of that horse in the official order of finish in a time trial.

**RAC305.7** Should a horse be disqualified for interference during the running of a time trial, it shall receive the time of the horse it is immediately placed behind plus one hundredth of a second, or the maximum accuracy of the electronic timing device. No adjustments will be made in the times recorded in the time trials to account for head-wind, tail-wind, off-track, etc. If a horse is disqualified for interference with another horse causing loss of rider or the horse not to finish the race, the disqualified horse shall be given no time and that horse should not be eligible to run in the final.

**RAC305.8** Should a malfunction occur with the electronic timer on any time trial, finalists from that time trial will then be determined by official hand times operated by three official and disinterested persons. The average of the three hand times will be utilized for the winning time, unless one of the hand times is clearly incorrect. In such cases, the average of the two accurate hand times will be utilized for the winning time; other horses shall be given times according to the order and margins of finish with the aid of the photo finish, if available.

**RAC305.9** When there is a malfunction of the timer during some time trials, but the timer operates correctly in other time trials, the accurate electronic times shall not be discarded, nor shall the average of the hand times be used for all time trials.

**RAC305.10** If the accuracy of the electronic timer and/or the average of the hand times are

questioned, the video of a time trial may be used by the stewards to estimate the winning time by counting the number of video frames in the race from the moment the starting gate stall doors are fully open parallel to the racing track. When the timer malfunctions and there are no hand times, the stewards may select qualifiers based on the video.

**RAC305.11** Should there be a malfunction of the starting gate and one or more stall doors not open or open after the exact moment when the starter dispatches the field, the stewards may declare the horses with malfunctioning stall doors non-starters or may allow any horse whose stall door opened late, but still ran a time fast enough to qualify, to be declared a starter for qualifying purposes. If a horse breaks through the stall door, or the stall door opens prior to the exact moment the starter purposely dispatches the field, the horse may be declared a non-starter, and the stewards may direct that all entry fees be refunded. If one or more, but not all, stall doors open at the exact moment the starter purposely dispatches the field, these horses should be considered starters for qualifying purposes and placed according to their electronic time. If the electronic timer malfunctions in this instance, the average of the hand times, or if not available, the video may be utilized for the horses declared starters.

**RAC305.12** If a horse should be scratched from the time trials, the horse's owner shall not be eligible for a refund of the fees paid and shall not be allowed to enter the final. If a horse that qualified for the final should be unable to enter due to racing soundness or scratched for any reason other than a positive drug test or a rule violation, the horse shall be deemed to have earned and the owner will receive last place purse money. If more than one horse is scratched from the final, then those purse monies shall be added together and divided equally among those owners.

**RAC305.13** If a qualifier for a final or consolation is disqualified for ineligibility or a rule violation after the time trials are declared official, but prior to entry for the final or consolation, the non-qualifier with the next fastest time shall replace the disqualified horse. If a qualifier is disqualified after entry for the final or consolation for any reason other than racing unsoundness, illness or death, if necessary, the purse shall be redistributed among the remaining qualifiers.

## **RAC306. STAKES AND RESTRICTED STAKES RACES.**

In order to be eligible for AQHA recognition as a stakes or restricted stakes race, the following conditions must be met:

**306.1 Stakes race** – A stakes race is a race in which any horse must make nomination(s), sustaining and/or entry fee payment(s), or a supplemental payment to participate in a race.

**306.1.1** Nominations must close more than 48 hours before time for the first race of the day on which such stake(s) race(s) is conducted.

**306.1.2** Must be worth a minimum of \$15,000 to be considered

**306.1.3** Can either be considered open or restricted

**306.1.4** Eligible for grading when it meets the conditions for a graded stakes race

**306.1.5** Conditions and/or nomination form along with purse structure must be on file with the AQHA Racing Office in Amarillo, TX at the same time the information is sent to horsemen.

**306.1.6** The minimum purse for stakes races conducted internationally shall be \$7,500 U.S. funds, based on the conversion rate on each race day, except Canada where the minimum is \$15,000 Canadian funds.

**306.1.7** Races not eligible for stakes race status include but not limited to:

**306.1.7.1** match races

**306.1.7.2** races restricted to horses that are non-winners of a stakes race

**306.1.7.3** races that are restricted to maidens, limit eligibility to horse that have started for a claiming price, horses entered to be claimed or that carry an optional-claiming condition.

**306.1.7.4** races that use a speed number (index, rating, etc).

**306.1.7.5** any trial races, with the exception of the Z Wayne Griffin Directors trials

**306.2 Open stakes race** – A race at which any horse at any time can make a payment(s) and enter the stakes race or trials for that particular stakes race according to the conditions of the race.

**306.2.1.** Can only limit entry by sex or age

**306.2.2.** An invitational (horses must have competed in a stakes race(s) to be invited) or handicap can be considered a stakes race if it meets the minimum purse, is advertised

in the official stakes program and satisfies all other criteria. This includes juvenile or "consolation" races for horses that ran in trials but did not qualify for the final.

**306.3 Restricted stakes race** – A race that limits entry of horses based on any other criteria other than age or sex. This includes but is not limited to the following:

**306.3.1.** state breeding, foaling and/or ownership

**306.3.2.** horses that were sold in a certain sale

**306.3.3.** progeny of a group of stallions either owned or standing at certain stallion farm(s)

**306.3.4.** tend to exclude better horses while allowing participation by lesser horses

**306.3.5.** raced in certain states or at certain tracks

**306.4 Graded stakes races** – Annually, AQHA will consider for grading, all eligible open and restricted stakes races as outlined in the Graded Stakes Committee Guidelines. Stakes may be graded as Grade 1, 2 or 3, with Grade 1 being the highest grade. Restricted stakes may be graded as Restricted 1, 2 or 3, with Restricted 1 being the highest grade. Primary considerations for grading will be the quality of horses attracted to the race and such factors as competition, conditions, purse and prestige of the race. In order for AQHA to grade a stakes race, such race must limit entry and competition to only American Quarter Horses registered with the American Quarter Horse Association.

Races not eligible for graded stakes status but could still qualify for stakes race status:

**306.4.1.** Overnight stakes races

A race whose conditions give preference to or are limited to non-qualifiers of another graded or non-graded stakes race

**RAC307. THE BANK OF AMERICA® RACING CHALLENGE.** The general rules and conditions for the Bank of America® Racing Challenge are contained in the Official AQHA Bank of America® Racing Challenge Condition Book applicable to the year in which the Challenge races are conducted ("Official Challenge Condition Book"). The Official Challenge Condition Book is published on AQHA's website (*aqha.com*) and is incorporated herein by reference.

**RAC308. SPEED INDEX.** Speed index is a system of rating the performance of American Quarter Horse racehorses.

**RAC308.1** Speed index ratings are based on an average of the three fastest winning electronic times at a particular distance run each year for the immediate past three years at a given track (nine total times). The average of the nine times (to the nearest 1/1000 (.001) of a second) represents a speed index rating of 100 for the following year.

**RAC308.1.1** In the event a time included within the nine times is slower than the established Minimum Standard Time for that distance, the Minimum Standard Time will be used in lieu of such slower time in calculating the average from which the speed index ratings will be computed the following year.

**RAC308.1.2** No horse will be used more than one time in any one year at a particular distance at any one track in calculating the nine fastest times.

**RAC308.2** The following times constitute Minimum Standard Times.

OFFICIAL DISTANCE	MINIMUM STANDARD TIME (for 100 Speed Index Rating)
110 yards - straightaway	6.98
220 yards - straightaway	11.95
250 yards - straightaway	13.35
300 yards - straightaway	15.55
330 yards - straightaway	16.95
350 yards - straightaway	17.85
400 yards - straightaway	20.15
440 yards - straightaway	22.05
550 yards - straightaway	27.70
660 yards - straightaway	33.50
660 yards - around one turn	34.60
770 yards - around one turn	40.36
870 yards - around one turn	45.60
1,000 yards - around one turn	52.41

**RAC308.3** In the event a track does not have a three-year immediate history for a particular distance, but, at least once in the immediate past three years has recorded three fastest winning electronic times at a particular distance, then the speed index of 100 will be based on the average of:

**RAC308.3.1** the Minimum Standard Time for those year(s) that the track did not run the particular distance; and

**RAC308.3.2** average of the three fastest winning electronic times in each of the years that the race was run in the immediate past three years (average of 3 times if distance only run during 1 meet in the last 3 years or average of 6 times if distance run during 2 meets in the last 3 years)

**RAC308.4** In the event a track has not recorded three fastest winning electric times at a particular distance at least once in the immediate past three years, but is a track that has run races that AQHA has recognized in the past, then the speed index of 100 will correspond to the Minimum Standard Time for that particular distance.

**RAC308.5** Horses starting at tracks eligible for recognition by AQHA for the first time will receive a speed index rating based on the following:

**RAC308.5.1** First year: A speed index of 100 will be based on an average of the three fastest winning electronic times at a particular distance run for the previous year at each of the five tracks with the largest number of race starters the previous year (hereinafter referred to as the "Five Track Average"). No horse will be used more than one time in any one year at a particular distance at any one track.

**RAC308.5.2** Second year: A speed index of 100 will be based on an average of the Five Track Average and the average of three fastest times at the track in question for the previous year. No horse will be counted more than once at a particular distance at any one track.

**RAC308.5.3** Third year: A speed index of 100 will be based on an average of the Five Track Average and the average of the three fastest times at the track in question for the previous two years. No horse will be counted more than once at a particular distance at any one track.

**RAC308.6** A speed index point varies according to the distance of the race as follows:

.100 equals one speed index point at 1,000 yards.

.087 equals one speed index point at 870 yards.

.077 equals one speed index point at 770 yards.

.066 equals one speed index point at 660 yards.

.055 equals one speed index point at 550 yards.

.044 equals one speed index point at 440 yards.

.04 equals one speed index point at 400 yards.

.035 equals one speed index point at 350 yards.

.033 equals one speed index point at 330 yards.

.03 equals one speed index point at 300 yards.

.025 equals one speed index point at 250 yards.

.022 equals one speed index point at 220 yards.

.011 equals one speed index point at 110 yards.

**RAC308.7** To calculate a specific speed index for a specific horse in a specific race, the time run by the horse is compared to the speed index time associated with 100 for the distance in question at that particular track. Points are added or subtracted based on whether the time was faster or slower than 100 speed index time. The only exception to the point interval chart above relates to a horse achieving a speed index of 101. In order to achieve a speed index of 101, a horse need only be .01 faster than the speed index time associated with 100. Thereafter, speed indexes greater than 101 are calculated using the speed index point intervals above. By way of example, if a 100 speed index associated with a 350 yard race is 17.75, then a horse that runs a 17.74 shall earn a speed index of 101. Using the same example, if the horse runs a 17.705 (.035 faster than 17.74), then such horse shall earn a speed index of 102.

**RAC308.8** An American Quarter Horse may not earn a speed index at a distance exceeding 1,000 yards or around more than one turn. However, such races will be listed on the participating horse's race record.

**RAC308.9** A speed index will only be awarded to a horse for approved electronic times at AQHA recognized official distances.

## **RAC309. RACING POINTS.**

**RAC309.1** Racing points will be awarded according to conditions of the race and order of finish for all races recognized by AQHA, at official AQHA distances. (See chart on page 297.)

**RAC309.2** Starter allowance and optional claiming races are considered in the same category as claiming races, based on claiming and optional claiming price.

**RAC309.3** Should a dead heat occur, all horses involved will receive the same points based on the place for which they dead heated. All other horses will receive points based on their actual finish.

**RAC309.4** Unless otherwise specified herein, a disqualified horse will receive points according to its final placement.

**RAC309.5** Horses participating in match races or races with less than five horses on the race card will receive no race points.

**RAC309.6** AQHA's Racing Department shall be responsible for making the final decision as to which category a race shall be placed.

## **RAC310. RACING AWARDS AND CHAMPIONS.**

**RAC310.1** For the purpose of racing awards, a male horse that has not been gelded shall be considered a colt at 2 and 3 years old, and a stallion at 4 years and older. For the purpose of racing awards, a female horse shall be considered a filly at 2 and 3 years old, and a mare at 4 years and older.

**RAC310.2** World Champion and Champion Racing American Quarter Horses will be awarded annually by vote of the Champions Selection Committee and in accordance with Champion Selection Committee guidelines.

**RAC310.2.1** Awards will be given as follows: champion 2-year-old colt; champion 2-year-old filly; champion 2-year-old gelding; champion 3-year-old colt; champion 3-year-old filly; champion 3-year-old gelding; champion aged stallion; champion aged mare; champion aged gelding; and champion distance horse of the year.

**RAC310.2.2** In each age division, a champion will be named; those being champion 2-year-old, champion 3-year-old and champion aged horse.

**RAC310.2.3** Voters are instructed to select the overall World Champion American Quarter Horse from their choices for the three age divisional champions.

**RAC310.2.4** Champion honors will be considered for American Quarter Horse Racing conducted internationally upon request from the host country's AQHA affiliate, providing there is a significant race season and pari-mutuel wagering is conducted.

**RAC310.2.5** An appropriate award will be given the horse's record owner as of December 31.

**RAC310.3** Champion owner, breeder, trainer and jockey will be awarded annually by written ballot. The five top-ranked individuals with the best overall placings according to money earned, races won and points earned will be placed on a ballot. An appropriate award will be presented to the selected persons.

**RAC310.4** Regional High Point Champions will be awarded annually to the highest point earner in each of 10 regions and each of 12 categories.

**RAC310.4.1** Awards will be given in the following categories: 3-year-old colt; 3-year-old filly; 3-year-old gelding; aged stallion; aged mare; aged gelding; distance and claiming. In addition, an award will be given to the top jockey, trainer, owner and breeder in each region.

**RAC310.4.2** The distance horse in each region will be based upon points earned in regional races at distances of 550 yards and longer.

**RAC310.4.3** The claiming horse in each region will be based upon points earned in regional claiming races.

**RAC310.4.4** An appropriate award will be given to the horse's record owner as of December 31.

**RAC310.5** Superior Race Horse will be awarded to any American Quarter Horse that earns 200 racing points.

**RAC310.6** Supreme Race Horse will be awarded to any American Quarter Horse that has achieved each of the following:

**RAC310.6.1** earnings of \$500,000 or more

**RAC310.6.2** winner of two open Grade I (GI) stakes races or two races of Grade I historical significance prior to 1983; and

**RAC310.6.3** winner of ten races.

**RAC310.7** World and Track Records. AQHA designation as a new/tied world or track record will be awarded under the following circumstances:

**RAC310.7.1** the winning time is equal to or faster than the existing record.

**RAC310.7.2** the second-place time is equal to or faster than the existing record, if the winner is disqualified.

**RAC310.7.3** the race must be electronically timed.

**RAC310.7.4** the race is run at an official surveyed distance.

**RAC310.7.5** at a racetrack racing for the first time, or racing for the first time in 10 years or longer, the fastest winning time for the race meet at each distance will be recognized as the track record.

**RAC310.7.6** at a distance that is being run for the first time at that racetrack, or the first time in ten years or longer, the fastest winning time for the race meet at each distance will be recognized as the track record.

**RAC310.7.7** in order to be recognized as a record, a speed index of 80 or better must be achieved.

**RAC310.8** Dam of Distinction will be awarded to any American Quarter Horse mare that meets at least one of the following criteria:

**RAC310.8.1** produced two or more individual Champion Racing American Quarter Horses.

**RAC310.8.2** produced at least three individual American Quarter Horse Grade I stakes winners.

**RAC310.8.3** produced at least two American Quarter Horse foals ranked in the top ten money earners of any particular year (as of December 31 of that year) and two GI stakes winners.

**RAC310.8.4** produced at least three American Quarter Horse foals that were in the top ten money earners of any particular year (as of December 31 of that year).

**RAC310.8.5** produced at least three individual American Quarter Horse stakes winners prior to 1983 and those wins were equivalent of Grade I quality race.

**RAC310.9** Horses earning a speed index of 80 or higher will receive a Racing Register of Merit. AQHA will furnish a Register of Merit certificate to the horse's owner at the owner's request. If the electronic timer malfunctions but a hand-time is recorded that would have resulted in a speed index of 80 or higher, the owner of the horse may appeal to AQHA for designation of the horse a Register of Merit qualifier if the hand-time is certified by track stewards.

**RAC310.10** Membership in AQHA on the date the award is bestowed is required for the owner of an American Quarter Horse to receive any racing award for achievement, including, but not limited to, a certificate, plaque or trophy. Despite owner ineligibility, the horse's achievement will, however, be recorded in its permanent record. To become eligible to receive the award, the owner may retroactively purchase the required annual membership.

## **RAC311. DISCIPLINARY ACTIONS**

**RAC311.1** Upon official notice to AQHA by the disciplining Racing Authority, a person who is suspended or otherwise disciplined by a Racing Authority for their conduct associated with an AQHA-recognized race may be suspended or disciplined by AQHA for the following:

**RAC311.1.1** positive lab result involving forbidden substances detected in horses entered in a race;

**RAC311.1.2** positive lab result involving an overage of a conditionally permitted therapeutic medication;

**RAC311.1.3** possession of electrical devices in the course of a recognized race meeting;

**RAC311.1.4** racing a ringer for fraudulent purposes; and

**RAC311.1.5** multiple offenses for the same ownership.

**RAC311.2** In addition to affording reciprocity to a racing authority, AQHA reserves the right to impose additional fines, suspend AQHA membership privileges and suspend participation privileges (both individual and horse) in AQHA-approved/recognized events. Included within such disciplinary actions are those disciplinary actions set forth in AQHA's Multiple Medication Violations System (MMVS). The MMVS sets forth penalties for trainers, owners and horses subject of positive drug tests, and, in particular, is designed to assess penalties against trainers, owners and horses subject of positive drug tests for forbidden substances and/or subject of multiple positive drug tests involving forbidden substances and/or overages of therapeutic medications. A copy of the MMVS can be found on the Racing Welfare and Medication web page at [aqha.com/racing](http://aqha.com/racing).

**RAC311.3** Notwithstanding anything to the contrary contained in AQHA's Disciplinary and Appeal Procedure Rules, no person who is suspended or disciplined by a Racing Authority and/or

under Rules RAC311.1-2 shall be entitled to a hearing before the Executive Committee or an AQHA hearing committee.

**RAC311.4** A horse may not receive an official speed index or racing points for having started in the race, if:

**RAC311.4.1** its owner is:

**RAC311.4.1.1** currently under AQHA suspension;

**RAC311.4.1.2** ineligible for a license; or

**RAC311.4.1.3** ineligible to participate under the rules of any racing authority or breed registry

**RAC311.4.2** a racing authority disqualifies the horse from the race.

**RAC311.5** Any racing authority or breed registry ruling involving horses registered by AQHA or affecting personnel engaged in American Quarter Horse racing shall be filed with AQHA unless the fine is less than \$500 or the suspension is less than 90 days.

**RAC311.6** AQHA, in cooperation with ARCI, can provide information on licensing, rulings and racing statistics for American Quarter Horse trainers. This information may be requested by contacting AQHA's Racing Department.

## **RAC312. TATTOOING AND PARENTAGE VERIFICATION.**

**RAC312.1** Unless otherwise stipulated by the responsible Racing Authority, every horse registered with AQHA prior to participating in an AQHA recognized race shall be identified and branded with a tattoo number on the upper lip by an AQHA-authorized tattoo technician.

**RAC312.2** The official tattoo identification number shall be noted on the horse's registration certificate and become part of the identifying markings of the horse.

**RAC312.3** The fee for tattoo identification by an AQHA-authorized tattoo technician is payable at the time of tattoo identification.

**RAC312.4** The tattooing of an identification number on a horse is another means of identification only and, in no event, constitutes a waiver by AQHA of its authority to correct and/or cancel a registration certificate for cause under AQHA's rules and regulations.

**RAC312.5** In order to be eligible to be tattoo identified, all horses foaled on or after January 1, 1992 shall be parentage verified through genetic testing. The horse's registration certificate shall be issued or reissued designating that the horse has been parentage verified. Payment of all fees, as specified in FEES, for parentage verification is the expense of the owner of the horse for which tattoo identification is requested.

**RAC312.6** If a horse is presented for tattoo identification with a significant discrepancy in markings, age or other features of the horse according to the registration certificate, the tattoo technician shall refuse to tattoo the horse and report such discrepancies to AQHA. Refer to REG12I, for rules pertaining to age determination by tooth examination and ineligibility to compete, if age shown by teeth does not correspond with age shown on registration certificate.

**RAC312.7** A horse owner's refusal to comply with the requirements regarding tattoo identification or parentage verification shall automatically disqualify such horse from participation in AQHA-approved racing until such time as the owner complies with such requirements. Such refusal is grounds for possible disciplinary action by AQHA.

## **RAC313. GENERAL CUTTER AND CHARIOT RACING PROVISIONS.**

**RAC313.1** AQHA will accept cutter and chariot race results in Amarillo, Texas, as official and use such results for purposes of recording information in AQHA records, conducting AQHA cutter and chariot racing programs and determining qualification for advancement of a horse in AQHA's breed registry.

**RAC313.2** Cutter and chariot race results are eligible for AQHA recognition if the race is run under the direct supervision of a state racing commission and/or the World Championship Cutter and Chariot Racing Association (WCCRA).

**RAC313.3** Unless otherwise specified in this rulebook, the racing rules of the WCCRA will be utilized for the governance of cutter and chariot racing recognized by AQHA.

**RAC313.4** A cutter and chariot racing contest shall consist of a series of races constituting one complete go-round for all horses entered, each race shall match two or more two-horse teams pulling a cutter and driver or a chariot and driver. For a contest to be recognized, 12 teams of registered horses (24 horses) must compete.

**RAC313.5** All American Quarter Horses participating in cutter and chariot races must be properly identified.

**RAC313.6** Cutter and chariot racing is a timed event, with placings determined on the basis of time lapsed for each team in the contest. The winning team in each contest will be the team with the least lapsed time between the time the starting gate opens to dispatch the horses until the nose of the leading horse on such team crosses the finish line.

**RAC313.7** Officials of a cutter and chariot racing contest shall consist of a presiding steward and two associate stewards, a starter, a clerk of the scales and three timers. In the event an electronic timer is used, there must still be two hand-timers. The time for any given team will be the time electronically recorded for that team, or the average of the hand timers in the event the electronic timer is not used or fails to operate.

**RAC313.8** To be eligible for placing, the aggregate weight of the cutter or chariot, harnesses, bridles and driver for such team must not be less than 275 pounds.

**RAC313.9** A cutter and chariot race must be started from a closed starting gate and conducted on a straight course.

**RAC313.10** During the course of a cutter and chariot race, if a team moves out of the lane in which it starts in such a manner that it interferes with or impedes another team, the offending team shall be disqualified when, in the opinion of the stewards, the outcome of the contest was affected. Any such team disqualified shall be placed behind the team or teams it fouled, and shall be given the time of the team it was placed behind, plus .01 second, or the maximum accuracy of the timer not to exceed .001 second.

**RAC313.11** Any horse finishing first, and any horse randomly selected by the stewards in a cutter and chariot racing contest shall be properly tested for the presence of unauthorized drugs or foreign substances

**RAC313.12** Official speed indexes and Register of Merits shall be awarded according to RAC308.

**RAC313.13** High-Point Champions will be awarded at the end of the cutter and chariot racing season in the following categories: 3-year-old colt, 3-year-old filly, 3-year-old gelding, aged stallion, aged mare and aged gelding. An appropriate award will be given to the horse's recorded owner as of April 1.

**RAC313.14** Superior Chariot Horse will be awarded to any American Quarter Horse who earns 200 chariot racing points.

**RAC313.15** Chariot racing points will be awarded according to the order of finish in each division as listed in the chart below.

	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	12th
Graded stakes for \$100,000 or more												
Stakes races for \$100,000 or more												
Restricted graded stakes for \$100,000 or more	20	19	18	17	16	15	14	13	12	11	10	9
Restricted stakes races for \$100,000 or more												
Graded stakes for \$50,000-\$99,999												
Stakes races for \$50,000-\$99,999												
Restricted graded stakes for \$50,000-\$99,999	19	18	17	16	15	14	13	12	11	10	9	8
Restricted stakes races for \$50,000-\$99,999												
Graded stakes for \$25,000-\$49,999												
Stakes races for \$25,000-\$49,999												
Restricted graded stakes for \$25,000-\$49,999	16	15	14	13	12	11	10	9	8	7	6	5
Restricted stakes races for \$25,000-\$49,999												
Graded stakes for \$15,000-\$24,999												
Stakes races for \$15,000-\$24,999												
Restricted graded stakes for \$15,000-\$24,999	14	13	12	11	10	9	8	7	6	5	4	3
Restricted stakes for \$15,000-\$24,999												
Allowance, Consolations, Claiming Stakes or Finals races for \$15,000 or more	14	12	10	8	6	4	2	1				
Allowance, Consolation or Finals \$3,500-\$14,999												
OR Mexico stakes races \$7,500-\$14,999	12	10	8	6	4	2	1					
OR Trials for races with purse of \$50,000 & up												
Allowance, Consolation or Finals \$2,000-\$3,499												
OR Trials for races with purse of \$25,000-\$49,999												
OR Claiming price of \$7,500 & up with purse of \$2,000 & up	10	8	6	4	2	1						
Allowance, Consolation or Finals of \$1,000-\$1,999												
OR Starter Allowance races with no specific claiming price												
OR Trials for races with purse of \$15,000-\$24,999												
OR Claiming price of \$7,500 & up with purse of \$1,000-\$1,999	8	6	4	3	2	1						
Allowance, Consolation or Finals of \$200-\$999												
OR Trials for races with purse of \$5,000-\$14,999												
OR Claiming Stakes Trials												
OR Claiming price of \$7,500 & up with purse of \$200-\$999	7	5	4	3	2	1						
OR Claiming price of \$4,000-\$7,499 with purse of \$1,000 & up												
Trials for races with purse less than \$5,000												
OR Claiming price of \$4,000-\$7,499 with purse of \$200-\$999	6	4	3	2	1							
OR Claiming price of \$2,500-\$3,999 with purse of \$1,000 & up												
Claiming price of \$2,500-\$3,999 with purse of \$200-\$999												
OR Claiming price of \$1,000-\$2,499 with purse of \$1,000 & up	5	3	2	1								
Claiming price of \$1,000-\$2,499 with purse of \$200-\$999	4	3	1									
Graded races (Speed Index, Speed Index Trial, Speed Index Final)												
OR Any Race with a purse of less than \$200	3	1										
OR Any maiden race												

Match races or races with less than 5 starters on original card; race canceled, but money paid; or race run at distance other than official AQHA distances will not receive race points.

## AQHA POINTS DISTRIBUTION FOR WCCRA RACES

	1ST PLACE	2ND PLACE	3RD PLACE
<b>WORLD CHAMPIONSHIP CHARIOT RACING FINALS *</b>			
1st Division .....	16	10	5
2nd Division .....	12	6	3
3rd Division .....	10	5	3
4th Division .....	8	4	2
5th Division .....	6	3	2
6th Division .....	5	3	2
<b>WORLD CHAMPIONSHIP QUALIFYING RACES* – 1ST DIVISION</b>			
Two-team race .....	10		
Three-team race.....	10	5	
Four-team race.....	10	6	4
<b>WORLD CHAMPIONSHIP QUALIFYING RACES* - 2ND DIVISION</b>			
Two-team race .....	8		
Three-team race.....	8	4	
Four-team race.....	8	5	3
<b>WORLD CHAMPIONSHIP QUALIFYING RACES* - 3RD DIVISION</b>			
State Finals - 1st Division and World Chariot Challenge Day Two 1st Division			
Two-team race .....	7		
Three-team race.....	7	3	
Four-team race.....	7	4	2
<b>WORLD CHAMPIONSHIP QUALIFYING RACES* - 4TH DIVISION</b>			
State Finals - 2nd Division and World Chariot Challenge Day Two 2nd Division			
Two-team race .....	6		
Three-team race.....	6	3	
Four-team race.....	6	4	2
<b>WORLD CHAMPIONSHIP QUALIFYING RACES* - 5TH DIVISION</b>			
State Finals - 3rd Division and World Chariot Challenge Day Two 3rd Division			
Two-team race .....	5		
Three-team race.....	5	2	
Four-team race.....	5	3	2
<b>WORLD CHAMPIONSHIP QUALIFYING RACES - 6TH DIVISION</b>			
State Finals - 4th through 12th Division and World Chariot Challenge Day One; Day Two - 4th Division and Extra Teams			
Two-team race .....	4		
Three-team race.....	4	2	
Four-team race.....	4	3	2
<b>HOME ASSOCIATION RACES</b>			
Two-team race .....	3		
Three-team race.....	3	1	
Four-team race.....	3	2	1

\*First four days of World Championships and the 1st thru next-to-last divisional races on the final day are Qualifying races. Only the last race in each division on the final day is for that division's overall winner – the winners of the last race in the 1st Division are the World Champion

# NOTES

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# CHALLENGE

**BANK OF AMERICA RACING CHALLENGE**

For any questions, comments, suggestions, forms, etc. concerning the Bank of America Racing Challenge series, contact any of the following people:

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*aqha.com/racing*

Please visit us at our AQHA service booth at most major sales.  
Look for us at Regional trials and finals races.



**P.O. Box 200**

**Amarillo, TX 79168**

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**Challenge Hotline: 877-222-7223**

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